

0135W

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Yueh Chiao CHANG and Ping Feng CHANG

Serial No. : Not Yet Assigned

Filed : Simultaneously Herewith

For : BOTTLE CAP ASSEMBLY

Commissioner of Patents and Trademarks  
Washington, DC 20231

### POWER OF ATTORNEY

Sir :

The undersigned, owner of the entire right, title and interest in ,  
to and under the patent application of the United States described  
above, hereby revokes all former Power of Attorney and appoints

No. 20,149

Charles E. Baxley, Esquire      Registration  
Hart, Baxley, Daniels & Holton  
90 John Street, Suite 309  
New York, New York 10038

Charles E. Baxley Attorney, with full power  
of substitution and revocation, to transact all business in the Patent  
and Trademark Office and elsewhere in connection therewith.

Chang Ping Feng

Place : Taiwan, R.O.C.

Date : February 12, 2004

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and Trademark Office and elsewhere in connection therewith.

Chang Yueh Chiao

Place : Taiwan, R.O.C.

Date : February 12, 2004

Applicant of Patentee: Ping-Feng CHANG, et al.

Docket #: 14248 B

Serial or Patent Number: Not Yet Assigned

Examiner: Unknown

Filed or Issued: Simultaneously Herewith

Art Unit: Not Yet Assigned

For: BOTTLE CAP ASSEMBLY

VERIFIED STATEMENT (DECLARATION) BY AN INDEPENDENT INVENTOR

CLAIMING SMALL ENTITY STATUS UNDER 37 CFR 1.9 (F) AND 1.27 (B)

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9 (c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled BOTTLE CAP ASSEMBLY

By Ping-Feng CHANG & Yueh-Chiao CHANG

Inventor(s)

described in:

- ☐ \_\_\_\_\_ filed \_\_\_\_\_
- ☒ The specification filed herewith.
- ☐ Patent application serial number \_\_\_\_\_ filed \_\_\_\_\_
- ☐ PCT International patent application number \_\_\_\_\_ filed \_\_\_\_\_
- ☐ Patent number \_\_\_\_\_ issued \_\_\_\_\_

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9 (c) if that person had made the invention. Or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed or licensed or am under an obligation under contract or law to assign, grant, convey or license any rights in the invention is listed below:

- ☐ No such person, concern or organization.
- ☐ Persons, concerns or organizations listed below. Note: Separate verified statement are required from each named person, concern or organization having right to the invention averning to their status as small entities (37 CFR 1.27)

Full Name: \_\_\_\_\_

Address: \_\_\_\_\_

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

Full Name: \_\_\_\_\_

Address: \_\_\_\_\_

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

☐ See attached sheet for additional person(s), concern(s) or organization(s).

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine, or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which the verified statement is directed.

	Inventor 1	Inventor 2	Inventor 3
Name	Chang Ping Feng	Chang Yueh Chiao	
Signature	<i>Chang Ping Feng</i>	<i>Chang Yueh Chiao</i>	
Date	February 12, 2004	February 12, 2004	

# DECLARATION FOR PATENT APPLICATION WITH POWER OF ATTORNEY

Docket: 14248 B

~~As below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below~~  
next to my name; I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled BOTTLE CAP ASSEMBLY

the specification of which (check one) ☒ is attached hereto; ☐ was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_ and was amended on \_\_\_\_\_ (or amended through, if applicable). I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a). I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

## Prior Foreign Application(s)

## Priority Claimed

(Number) (Country) (Day/Month/Year Filed)

☐ ☐  
Yes No

(Number) (Country) (Day/Month/Year Filed)

☐ ☐  
Yes No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.) (Filing Date) (Status—Patented, Pending or Abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

## POWER OF ATTORNEY

I (we) hereby appoint Charles E. Baxley, USPTO Reg. No. 20,149, whose Post Office address is: Hart, Baxley, Daniels & Holton, 90 John Street, 3rd Floor, New York, New York 10038, Tel: (212) 791-7200, Fax: (212) 791-7276, as my (our) attorney with full powers of substitution and revocation, to prosecute this application, and to transact all business in the Patent and Trademark Office connected therewith.

Full name of First or Sole Inventor Ping Feng CHANG	Citizenship Taiwan
Residence Address - Street 11F-2, No. 43, Chai-I Street	Post Office Address - Street 11F-2, No. 43, Chai-I Street
City (Zip) Taichung City	City (Zip) Taichung City
State or Country Taiwan, R.O.C.	State or Country Taiwan, R.O.C.
Date ✓ February 12, 2004	Signature ✓ <i>Chang Ping Feng</i>

☒ See second page for additional joint inventors.

Full Name of First or Sole Inventor Yueh Chiao CHANG	Citizenship Taiwan
RESIDENCE Address- Street No. 315, Sec. 3, Fu-Shih Road	POST OFFICE address- Street No. 315, Sec. 3, Fu-Shih Road
City(Zip) Taichung City	City (Zip) Taichung City
State or Country Taiwan, R.O.C.	State or Country Taiwan, R.O.C.
Date February 12, 2004	Signature <i>Cheng Yueh Chiao</i>

Full Name of First or Sole Inventor	Citizenship
RESIDENCE Address- Street	POST OFFICE address- Street
City(Zip)	City (Zip)
State or Country	State or Country
Date	Signature

Full Name of First or Sole Inventor	Citizenship
RESIDENCE Address- Street	POST OFFICE address- Street
City(Zip)	City (Zip)
State or Country	State or Country
Date	Signature

Full Name of First or Sole Inventor	Citizenship
RESIDENCE Address- Street	POST OFFICE address- Street
City(Zip)	City (Zip)
State or Country	State or Country
Date	Signature

Full Name of First or Sole Inventor	Citizenship
RESIDENCE Address- Street	POST OFFICE address- Street
City(Zip)	City (Zip)
State or Country	State or Country
Date	Signature

## DECLARATION FOR PATENT APPLICATION AND APPOINTMENT OF ATTORNEY

As a below named inventor. I hereby declare that my residence, post office address and citizenship are as stated below next to my name; I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject-matter which is claimed and for which a patent is sought on the invention (Design, if applicable) entitled: BOTTLE CAP ASSEMBLY

The specification of which (check one):

☒ is attached hereto.

☐ Was filed on:

as Application Serial No:

and (if applicable) was amended on:

☐ Was filed on:

as International Application (PCT) No:

and (if applicable) was amended on:

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I Acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56. I hereby claim foreign priority benefits under Title 35m United States Code § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a filing date before that of the application on which priority is claimed.

PRIOR FOREIGN APPLICATION (S)			PRIORITY CLAIMED	
Number	Country	Day / Month / Year Filed	Yes	No

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating, The United States of America listed below and, in so far as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code § 112. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application: . . .

Application Number	Filing Date	Status-Patented, Pending or Abandoned

I hereby declare that all statement made herein of my own knowledge are true and that all statement made on information and belief are believed to be true; and further that these statement were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY : I (We) hereby appoint as my (our) attorneys, with full powers of substitution and revocation, to prosecute this application and transact all business in the Patent and Trademark Office connected therewith :

I (We) authorize my (our) attorneys to accept and follow instructions from \_\_\_\_\_

Regarding any matter related to the preparation, examination, grant and maintenance of this application, any continuation, continuation-in-part or divisional based thereon, and any patent resulting therefrom, until I(we) or my (our) assigns withdraw this authorization in writing.

Charles E. Baxley, Esq.

Tel: (212) 791-7200

Send correspondence to: 90 John Street, 3rd Floor

Telephone Calls to:

Fax: (212) 791-7276

New York, New York 10038

Full Name of First or Sole Inventor	Citizenship
Ping Feng CHANG	Taiwan
Residence Address	Post Office Address <input type="checkbox"/> Same as Residence
11F-2, No. 43, Chai-I Street Taichung City, Taiwan, R.O.C.	11F-2, No. 43, Chai-I Street Taichung City, Taiwan, R.O.C.
DATE	Signature
February 12, 2004	Chang Ping Feng

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The specification of which (check one):

☒ is attached hereto.

☐ Was filed on:

as Application Serial No:

and (if applicable) was amended on:

☐ Was filed on:

as International Application (PCT) No:

and (if applicable) was amended on:

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Application Number	Filing Date	Status-Patented, Pending or Abandoned

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Charles E. Baxley, Esq.

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Send correspondence to: 90 John Street, 3rd Floor Telephone Calls to:  
New York, New York 10038

Full Name of First or Sole Inventor	Citizenship
Yueh Chiao CHANG	Taiwan
Residence Address	Post Office Address <input type="checkbox"/> Same as Residence
No. 315, Sec. 3, Fu-Shih Road Taichung City, Taiwan, R.O.C.	No. 315, Sec. 3, Fu-Shih Road Taichung City, Taiwan, R.O.C.
DATE	Signature
February 12, 2004	Chang Yueh Chiao